



RESPONSIBLE INVESTING REQUEST FOR INFORMATION

Firm Name:

designing portfolios and identifying emerging sources of risk that may not yet captured by quantitative approaches. In addition, the Quantitative Equity team

3) a) Are you a signatory to the UNPRI?

Yes

b) If you are signatory to other coalitions, please list them.

TDAM is member or supporter of, or signatory to, the following organizations:

- x Canadian Coalition for Good Governance (CCGG)
- x Carbon Disclosure Project (CDP)
- x Global Real Estate Sustainability Benchmark (GRESB)
- x Investor Stewardship Group (ISG)
- x Responsible Investment Association (RIA) - member in 2019; signatory to the RIA Canadian Investor Statement on Diversity and Inclusion in 2020
- x Climate Action 100+ (CA100+)
- x 30% Club Canada Investor Group
- x Climate Engagement Canada (CEC)
- x International Corporate Governance Network (ICGN)
- x Task Force on Climate Related Financial Disclosures (TCFD)
- x AdvancePRI's human rights engagement initiative
- x Coalition for Environmentally Responsible Economics (CERES)

updates on new acquisition ESG risk profiles, GRESB scores or response rates, ; mortgage fund borrower surveys are performed ESG monitoring at these meetings.

We also expanded our stewardship efforts to focus on climate and human rights key themes as we continue to assess material ESG risks and opportunities across our portfolio. As part of the investor stewardship initiative on human rights and social issues led by the PRI, TDAM joined as a lead investor, specifically taking the lead on engagement discussions with a mining company on how they are managing human rights risks across their operations.

A key development for the industry was the establishment of the International Sustainability Standards Board (ISSB), which aims to develop standards for a global baseline of sustainability disclosures. TDAM took the opportunity to provide feedback to several of our member organizations as they drafted responses and evaluated member perspectives on two draft disclosure standards forward by ISSB.

4) Please describe how ESG oversight and integration responsibilities are structured at your firm, including the process for escalation of key ESG issues. Also, if applicable, describe how responsible investment objectives are incorporated into individual or team employee performance reviews and compensation mechanisms.

Governance of sustainable investing starts with TDAM's Chief Executive Officer (CEO), who is)

TDAM has a dedicated ESG Committee that oversees the firm's overall sustainable investing strategy and integration efforts. The committee facilitates discussion around ESG issues, engagements, and policy direction. The ESG Committee includes members of the investment management, external distribution, and investment risk teams. The ESG Committee meets on a quarterly basis, in addition to ad hoc meetings, where necessary. Items that require additional discussion are escalated to TDAM's Operating Committee and TDAM's Risk Committee. The ESG Committee strives to ensure that the sustainable investment approach is implemented in accordance with the applicable mandate(s) and clearly .2 (o)- TI (d) xn7 (l)-1c/hl0.6 i1.5n)-0.6a) (e.)-3) JETQBT/TT5) 42)-5 Ca15u)pe a(n2xn7 ie.5y)-e i2T(a15u))-6n)

^) What channels do you use to communicate ESG-related information to clients and/or the public? Do you produce thought leadership (written reports and publications)? If so, is the information available to the public? Please provide links, if applicable.

TDAM provides several public disclosures related to sustainable investing. This includes annual publication of our Sustainable Investment Report, Task Force on Climate-Related Financials Disclosures Report, as well as o

ENGAGEMENT

Z Z)What are your engagement goals? Are these goals outcome/action-based (e.g. decreases in emissions or increases in number of women on the board) or means-based (reporting on emissions or number of women on

DISCLOSURES

The information contained herein is for information purposes only. The information has been drawn from sources believed to be reliable. Graphs and charts are used for illustrative purposes only and do not reflect future values or future performance of any investment. The information does not provide financial, legal, tax or investment advice. Particular investment, tax or trading strategies should be evaluated relative to each individual's objectives and risk tolerance. Where the information has been provided by an underlying party fund manager it is for information purposes only and has not been calculated, reviewed or verified by any representative of the underlying party fund manager or their respective affiliates. The information is confidential and may not be disclosed to another party without prior written consent of TD Asset Management Inc.

This material is not an offer to any person in any jurisdiction where unlawful or unauthorized. These materials have not been reviewed by and are not registered with any securities or other regulatory authority in jurisdictions where we operate. Any general discussion or opinions contained within these materials regarding securities or market conditions represent the view or the view of the source cited. Unless otherwise indicated, such view is as of the date noted and is subject to change. Information about the portfolio holdings, as filed with the SEC, is available at www.sec.gov.